

Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Standards Committee	Comments
Council Wide	Local Government Association Peer Review	1	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Council Wide	Risk Management	1	Review in Progress				The activity replaces the previously deferred 'risk and performance reporting' review. Outcomes to be reported to Audit and Standards Committee in April 22.
Council Wide	Business Continuity Lessons Learned	1	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Transformation and Change	Fit for the Future	1	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Place	Private Sector Housing (Empty Homes) - Limited Assurance Follow Up	1	Audit in Progress				
Place	Canal Project Budget Management	1	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Place	Planning Applications	1	Final Report Issued	Satisfactory	Satisfactory	30/11/2021	Brought Forward from 20/21 plan.
Place	Planning Review (Enforcement)	1	Final Report Issued	Not Applicable	Not Applicable	30/11/2021	New activity.
Resources	Carbon Neutral 2030	1	Planned				Brought Forward from 20/21 plan.
Resources	Creditors - Limited Assurance Follow Up	1	Planned				
Resources	Contract Management Framework	1	Planned				Consultancy review. Terms of Reference issued. This activity replaces the original 'Procurement and Contract Management Follow Up' activity. The procurement element of the original review has been deferred.
Resources	ICT Service Desk	1	Final Report Issued	Not Applicable	Not Applicable	28/09/2021	Brought Forward from 20/21 plan. Consultancy review.
Resources	ICT Infrastructure Strategy	1	Audit in Progress				Brought Forward from 20/21 plan.
Resources	Council Tax	2	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Resources	Grant Payments - Post Payment Assurance	1	Draft Report Issued				Outcomes to be reported to Audit and Standards Committee in April 22.
Resources	Green Homes Grant Local Authority Delivery Scheme - Phase 1a	1	Final Report Issued	Not Applicable	Not Applicable	30/11/2021	
Resources	Green Homes Grant Local Authority Delivery Scheme - Phase 1b	1	Audit in Progress				New activity. Second phase.
Resources	Innovate to Renovate	1	Planned				New activity. Grant review.
Resources	Lost Sales, Fees and Charges - Claim Three	1	Final Report Issued	Not Applicable	Not Applicable	28/09/2021	
Resources	Purchase Cards	2	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Resources	Social Housing Decarbonisation Fund Demonstrator	1	Planned				

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Resources	Test and Trace Support Scheme - Main Scheme	1	Audit in Progress				
Resources	Test and Trace Support Scheme - Discretionary Scheme	1	Audit in Progress				New activity.
Resources	Facilities Management	2	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Resources	Compliance with Gov Standards	1	Audit in Progress				
Resources	Incident Management Process	1	Planned				Activity agreed for March 22 delivery.
Resources	Change Management Process	1	Planned				Activity agreed for March 22 delivery.
Resources	Disaster Recovery and Business Continuity - Limited Assurance Follow Up	1	Planned				
Communities	Tenant Engagement	1	Audit in Progress				
Communities	Anti-social Behaviour Management	1	Planned				Brought Forward from 20/21 plan. Terms of Reference issued.
Communities	Electrical Works Contract - Limited Assurance Follow Up	1	Planned				Terms of Reference issued.
Communities	Stratford Park Leisure Centre	1	Audit in Progress				Brought Forward from 20/21 plan.
Communities	Cleaner Estates Strategy (Refuse)	2	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Communities	Housing Advice	1	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Communities	Housing Revenue Account (HRA) Delivery Plan	1	Planned				
Communities	Safeguarding	1	Deferred				Internal Audit Plan 21/22 review completed by SLT in October 21. SLT request for activity deferral. Based on new in year requested activities (such as Planning Enforcement) requiring priority delivery and the completion of up to date risk assessment.
Communities	Voids Management	1	Final Report Issued	Limited	Limited	08/02/2022	Change from priority 2 to priority 1, following 21/22 in year risk assessment update.
Exempt	Exempt	1	Final Report Issued	Limited	Limited	28/09/2021	